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(Substitute) PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE e Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/526.518 Filing Date TRANSMITTAL March 3, 2005 First Named Inventor **FORM** Seiji Matsumoto Art Unit 1763 **Examiner Name** (to be used for all correspondence after initial filing) Attorney Docket Number O1044/20013 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a **Proprietary Information** After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): - Return Receipt postcard Request for Refund **Express Abandonment Request** - Request for Correction of Official Filing Receipt; CD, Number of CD(s) Information Disclosure Statement Copy of Filing Receipt marked in red Landscape Table on CD and copy of Application Data Sheet Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 Please charge Attorney Account No. 03-0075 as necessary to effect entry and/or ensure consideration of this submission. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Caesar, Rivise, Bernstein, Cohen & Pokotilow, Ltd., Customer No. 03000 Signature Printed name Barry A. Stein Reg. No. Date 25,257 October 5, 2005 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on [Transmitted to Facsimile No. (571) 273-8300] the date shown below: Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Barry A. Stein

Typed or printed name

Date

October 5, 2005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT EXAMINING OPERATION

First Named Inventor: MATSUMOTO, SEIJI

Serial No: 10/526,518 Group Art Unit: 1763

Filed: March 3, 2005 Examiner:

Att. Docket No.: O1044/20013 Confirmation No.: 9245

For: GLASS SUBSTRATE FOR INFORMATION RECORDING MEDIA AND ITS

FABRICATING METHOD

REQUEST FOR CORRECTION OF OFFICIAL FILING RECEIPT

Office of Initial Patent Examination ATTN: FILING RECEIPT CORRECTIONS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected Official Filing Receipt is respectfully requested. The error and corresponding correction requested are noted below and marked on the attached Receipt:

<u>Error</u>	Correct Data	
COROPORATION	CORPORATION	

It is believed that the correction is not due to any error by applicants, as "Corporation" was correctly spelled on the Application Data Sheet from which this information was taken. Accordingly, no fee is due.

If there are any questions or suggestions relating to this matter, please contact the undersigned at the telephone number set forth below.



Application No. 10/526,518 Request for Corrected OFR Dated October 5, 2005

October 5, 2005

Please charge or credit our Account No. 03-0075 as necessary to effect entry and/or ensure consideration of this submission.

Respectfully submitted,

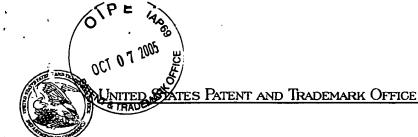
CAESAR, RIVISE, BERNSTEIN, COHEN & POKOTILOW, LTD.

By

Barry A. Stein

Registration No. 25,257 Customer No. 03000 (215) 567-2010

Attorneys for Applicant(s)



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.C. Box 1450 Alexandria, Vinginia 22313-1450 www.mplo.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/526 519	02/02/2005	1762	1100	01044/20012		10	A .

CONFIRMATION NO. 9245

FILING RECEIPT

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CAESAR, RIVISE, BERNSTEIN, COHEN & POKOTILOW, LTD. 11TH FLOOR, SEVEN PENN CENTER 1635 MARKET STREET

PHILADELPHIA, PA 19103-2212

CAESAR, RIVISE, BERNSTEIN COHEN & POKOTILOW, LTD.

Date Mailed: 09/16/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Seiji Matsumoto, Osaka-shi, JAPAN;

Assignment For Published Patent Application

HOYA CORDORATION, Tokyo, JAPAN Should be "CORPORATION"

Power of Attorney: The patent practitioners associated with Customer Number 03000.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/16673 12/25/2003

Foreign Applications

JAPAN 2002-378757 12/26/2002

Projected Publication Date: 12/22/2005

Non-Publication Request: No

Early Publication Request: No

Title

Glass substrate for information recording media and its fabricating method

Preliminary Class

216

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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APPLICATION DATA SHEET

Electronic Version v14.0 Stylesheet Version v14.0



Title of Invention

GLASS SUBSTRATE FOR INFORMATION RECORDING MEDIA AND ITS FABRICATING METHOD

Application Type:

regular, utility

Attorney Docket Number: O1044-20013

Correspondence address:

Customer Number:

03000

03000

Continuing Data:

This is a National Stage of IB application number PCT/JP2003/016673, filed 2003-12-25, now Pending.

Priority Data:

Doc.No: 2002-378757; Country - JP; Date: 2002-12-26 us-priority-claimed

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Phone: Fax: E-mail: **Attorney Information:** practitioner(s) at Customer Number: 03000 *03000* as my attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith. Assignee 1: **HOYA CORPORATION Organization Name:** 7-5, Naka-Ochiai, 2-Chome, Shinjuku-ku Address-1 of Mailing Address: Address-2 of Mailing Address: Tokyo City of Mailing Address: State of Mailing Address: Postal Code of Mailing Address: 161-8525 **Country of Mailing Address:** JP Phone: